

Attorney Docket No.: 64,131-003

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

E. Bayne Carew

GROUP ART: 1723

SERIAL NO.:

09/931,510

EXAMINER: To Be Assigned

FILED:

August 16, 2001

FOR:

FILTER ASSEMBLY, FILTER ELEMENT, AND METHOD OF UTILIZING SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)(3), 1.97(e)(1) AND 1.98

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

Pursuant to Rule 97 and 98 and also in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are enclosed.

None of these items discloses the inventive concepts claimed in the subject application. Furthermore, each of these items were cited in a communication from a foreign patent office in a counterpart foreign application, and none of these items were known more than three months prior to the filing of the subject Supplemental Information Disclosure Statement. This Supplemental Disclosure Statement is being filed before the mailing date of a first Office Action on the merits so no fee is required.

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TO 1700

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However, should there be a fee associated with this document, you are authorized to charge Deposit Account No. 08-2789 in the name of Howard & Howard. A duplicate of this paper is enclosed.

Respectfully submitted,

Howard & Howard Attorneys, P.C.

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Dated: February 25, 2002 PTO-1449 w/8 Cited References

CERTIFICATE OF MAILING

I hereby certify that the enclosed Supplemental Information Disclosure Statement and PTO 1449 is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on February 25, 2002.

Brenda J. Hughes

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